

Research paper

Laterally loaded piles in multi-layered soils

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ABSTRACT

The paper presents a rigorous analytical method, using the theory of the transfer matrix method for calculating the laterally loaded piles in multi-layered soils. Following the technique proposed by Muki and Sternberg, the problem is decomposed into extended layered soils and a fictitious pile characterized respectively by Young's moduli of the layered soils and those of the differences between the piles and the layered soils. The unknown bending moments along the fictitious pile are determined by solving a Fredholm integral equation of the second kind, which imposes the compatibility condition that the lateral displacements of the fictitious pile are equal to those corresponding to the centroidal axis of the extended layered soils. The pile lateral displacement and slope distributions can be calculated based on the determined fictitious pile bending moment distribution. Selected results from parametrical studies are presented to confirm the validity of the proposed approach and to portray the influence of the governing parameters on the pile bending moment, displacement and slope distributions.

1. Introduction

Piles are widely used to as the foundation in soft deposit to bearing vertical load from super-structure, to prevent subsidence due to groundwater pumping (Xu et al., 2016), and to support laterally loaded structures, such as high-rise buildings, bridges, offshore platforms, nearby geotechnical constructions (Wang et al., 2013, 2014; Wu et al., 2015d; Zhang et al., 2015; Shen et al., 2013b, d), deep excavation or tunnel construction etc. (Shen et al., 2014, 2016; Wu et al., 2015a-c; Wu et al., 2016). These buildings have to resist sever lateral loads caused by wind, earthquake, wind, waves, etc.. For a 100-m-tall building in Hong Kong, a typical design ultimate horizontal load may be as high as 2000 kN (Ng and Zhang, 2001). Therefore, much work has been done on analyzing the load-deformation behavior of piles subjected to lateral loads by many researchers, including the p-y method (Matlock, 1970; Reese and Welch, 1975;

and Reese et al., 1974), the elastic continuum method (Poulos, 1971; Banerjee and Davis, 1978; Poulos and Davis, 1980; and Shen et al., 2013a, c, 2017), finite element method (Randolph, 1981; Trochanis et al., 1991; and Shen and Xu 2011), and elastic subgrade reaction method (Hetenyi, 1946; Reese and Matlock, 1956; and Davisson and Gill, 1963).

All the above mentioned methods, however, have limitations. For example, the finite element method is capable of modeling soil non-linearity, soil continuity and pile-soil interface behavior. However, the finite element method cannot be used in the routine design because of the effort required in modeling and computation. Hence, three main approaches, the p-y method, the elastic subgrade reaction method and the elastic continuum method are often used for analysis of laterally loaded piles. The soil resistance is modeled with discontinuous springs in the subgrade reaction method. The p-y method exhibits limitations similar to the subgrade reaction

method except that soil resistance is considered to be a non-linear function of pile displacement. The elastic continuum method, taking into account the continuity of the soil mass, is a more accurate solution considering the pile as a very thin rectangular strip and using Mindlin's equation (1936) for a point load in the interior of an elastic half-space to obtain the deflections at various points along the pile due to a force applied at one level.

Most of the elastic solutions consider piles embedded in a homogeneous soil system. Since in most applications, piles are installed in layered soils, a method which considers the piles in a layered soils is an important part of the analysis of pile foundations. Pise (1982) employed the Mindlin's equation to study the effect of soil layering on the behavior of laterally loaded piles. The location of the maximum bending moment was not evaluated. Because Mindlin's solution is valid only for homogeneous continuums, this analysis is strictly not capable to laterally loaded piles in layered soils. Because Mindlin's solution is used, the finite difference method (Yang et al., 2002; and Yang and Liang, 2006) is only appropriate for laterally loaded piles in a two-layered soil system and it cannot be used for a more general situation, such as piles in a multi-layered soil system. In addition, analyses of a laterally loaded piles installed in multi-layered soils were done by assuming mathematical forms for the displacement field in the soil and minimizing potential energy for the pile-soil system (Basu and Salgado, 2007, 2010; Basu et al., 2009).

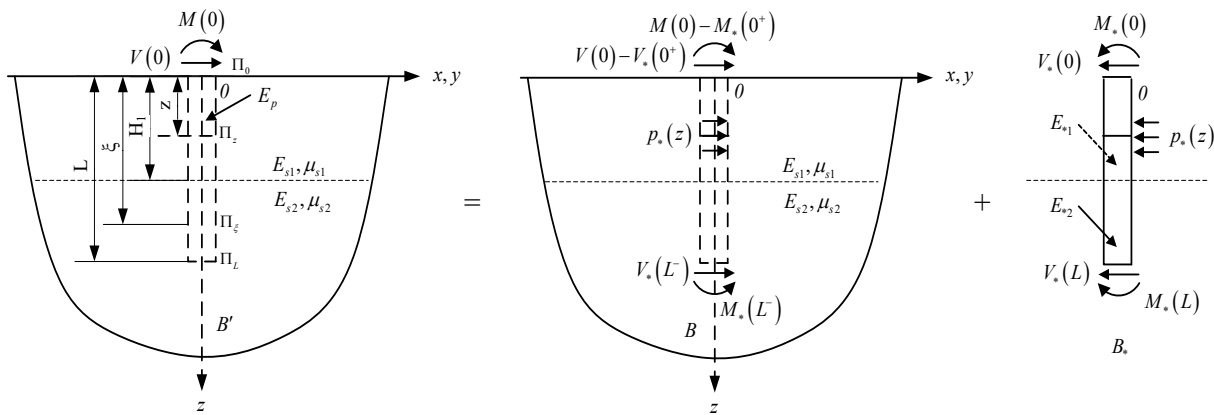
In this paper, the laterally loaded piles in multi-layered soils are reexamined and the above mentioned limitations for conventional calculation of the pile-soil interaction may be removed by using the fictitious pile method originally proposed by Muki and Sternberg (1970), which have been used for analysing vertically loaded piles (Cao and Chen, 2008, 2011, 2012; Cao et al., 2007).

The analysis is thus similar in principle to analyses previously made for a laterally loaded bar which is partially embedded in a three-dimensional elastic half space (Pak, 1989). The layered soils are calculated by the theory of the transfer matrix, which is an extension to Muki (1960) and Sneddon (1992) solutions for multi-layered soils. The bending moment, the real pile lateral displacement as well as the pile slope over the length of the pile can be readily determined. The results obtained are confirmed via comparisons with the available results. Selected results from parametrical studies are presented to portray the influence of the governing parameters on the pile bending moment, displacement and slope distributions.

2. Mathematical formulation

In this section, a mathematical formulation is presented for the investigation of the behavior of a single laterally loaded pile embedded in layered soils. As shown in Fig. 1, let $\{0,x,y,z\}$ be a rectangular Cartesian coordinate frame spanning the semi-infinite elastic continuum with elastic properties of the layered soil medium B. For the purpose of explanation, there are two-layered elastic soils. Three or more layers of foundation can be by analogy. The upper soil layer is of thickness H_1 , with Young's modulus E_{s1} , Poisson's ratio μ_{s1} , overlying an infinite lower layer of modulus E_{s2} , Poisson's ratio μ_{s2} .

A circular pile of radius a , length L , Yung's modulus E_p , and circular cross-sectional region Π_z ($0 < z < L$) is embedded in layered soils. The pile is denoted by B' (Fig. 1(a)).



(a) Laterally loaded pile embedded in semi-infinite soil (b) Extended layered soils (c) Fictitious pile

Fig. 1. Laterally loaded pile embedded in elastic semi-infinite layered soils.

As in the treatment by Muki and Sternberg (1970) of this class of problems, the embedding soil medium is extended throughout the half space and the fictitious pile B_0 is introduced throughout their original location to account for the presence of the embedded pile (**Fig. 1(c)**). The Young's modulus E_{s_i} of the fictitious pile is equal to the differences between that of the real pile and the respective Young's moduli of extended layered soils, i.e.

$$E_{s_i} = E_p - E_{s_i}, \quad (i = 1, 2) \quad [1]$$

where E_{s_i} is the Young's modulus of the soil layer i , and the subscript $i = 1$ or 2 denotes the soil layer number.

The pile is loaded with a combination of lateral shear force $V(0)$ and a moment $M(0)$ at its head (**Fig. 1(a)**). The extended layered soils as shown in **Fig. 1(b)** are treated a three-dimensional elastic continuum with elastic properties of the layered soils. Following the technique of Pak (1989), the fictitious reinforcement B_0 is regarded as one-dimensional elastic structure. The bending moment - displacement relation B_0 can be expressed in the forms

$$E_{s_1} I \frac{d^2 u_1(z)}{dz^2} = M_1(z), \quad 0 \leq z < H_1 \quad [2a]$$

$$E_{s_2} I \frac{d^2 u_2(z)}{dz^2} = M_2(z), \quad H_1 \leq z \leq L \quad [2b]$$

and the equilibrium equations

$$\frac{dM_1(z)}{dz} = V_1(z) \quad [3]$$

$$\frac{dV_1(z)}{dz} = -p_1(z) \quad [4]$$

where $u_1(z)$, $M_1(z)$ and $V_1(z)$ = the lateral displacement, the bending moment and the shear force of the fictitious pile at depth z , respectively; $p_1(z)$ = the distributed normal force per unit length exerted by B_0 on B_1 . $E_{s_1} I$ = the bending rigidity of the fictitious pile.

The loads from the pile to its embedding medium through concentrated bond force are direct load transfer clarified by Muki and Sternberg (1968). Treating the circumferential surface of the fictitious pile B_0 as frictionless, the external forces acting on B_0 are composed of the following items: (1) $V_1(0)$, the resultant shear force at the top of B_0 after a direct shear transfer; (2) $M_1(0)$, the resultant bending moment at the top of B_0 after a direct moment transfer; (3) $V_2(L)$, the resultant shear force at the bottom end of B_0 ; (4) $M_2(L)$, the resultant bending moment at the bottom of B_0 ; and (5)

$p_2(z)$, the distributed normal force per unit length exerted by B_0 on B_2 . By the law of action and reaction, the forgoing forces acting on the extended layered soils B_1 are: (1) $V_1(0) - V_1(0^+)$, the direct shear transfer to the extended layered soils from the fictitious pile at the cross-section Π_0 ; (2) $M_1(0) - M_1(0^+)$, the direct moment transfer to the extended layered soils from the fictitious pile at the cross-section Π_0 ; (3) $V_2(L)$, the shear transfer from the fictitious pile; (4) $M_2(L)$, the moment transfer from the fictitious pile; and (5) $p_2(z)$, the distributed normal force per unit length at the cross-section Π_z . As in the treatment by Pak (1989), if the assumption of small cross-sectional rotation of the pile is made, the direct moment transfers at the pile ends are negligible.

$$M_2(L) = 0 \quad [5]$$

and

$$M_1(0) - M_1(0^+) = 0 \quad [6]$$

The compatibility condition requires that the lateral displacement of the fictitious pile and the extended soil layers be equal along the z -axis of over the length of the pile caused by the system of interactive forces. This condition leads to the following relationship

$$u_1(z) = [V_1(0) - V_1(0^+)] \bar{u}_x(z, 0) + V_2(L) \bar{u}_x(z, L) + \int_0^L p_2(\xi) \bar{u}_x(z, \xi) d\xi, \quad 0 \leq z \leq L \quad [7]$$

where $\bar{u}_x(z, \xi)$ = influence displacement function which represents the displacement of the extended layered soils at the depth z along the axis of the real pile B_1 due to a circular load over the cross-section Π_ξ , acting in the positive x -direction, the resultant applied force having unit magnitude. This influence function may be obtained by the theory of the transfer matrix-bottom rigidity for layered soils which will be expressed later. Substituting Eq. [4] into Eq. [7] leads to

$$u_1(z) = [V_1(0) - V_1(0^+)] \bar{u}_x(z, 0) + V_2(L) \bar{u}_x(z, L) - \int_0^L \frac{dV_1(\xi)}{d\xi} \bar{u}_x(z, \xi) d\xi, \quad 0 \leq z \leq L \quad [8]$$

Eq. [8] represents the primary governing equation for the pile-layered soils interaction problem considered. With the aid of Eqs. [3, 5 and 6] and proper account of the discontinuity of the integrand during an integration by parts, Eq. [8] can be further reduced to a Fredholm equation of the second kind:

$$u_i(z) = V(0)\dot{u}_x(z,0) - M(0)\frac{\partial \dot{u}_x(z,0)}{\partial \xi} - M_i(z)\frac{\partial \dot{u}_x(z,\xi)}{\partial \xi} \Big|_z - \int_0^L M_i(\xi)\frac{\partial^2 \dot{u}_x(z,\xi)}{\partial \xi^2} d\xi, \quad 0 \leq z \leq L \quad [9]$$

Eq. [10] shows a horizontal circular load of unit intensity over the cross-section of radius a in elastic layered soils.

$$P = \frac{1}{2\pi a \sqrt{a^2 - r^2}} \quad [10]$$

With the aid of Eq. [10], the influence shear stress function $\tau_{zx}(z, z^+)$, which is defined as the shear stress of the extended layered soils at the depth z due to a horizontal circular load at the depth z^+ , the resultant applied force having unit magnitude, has the following property

$$\tau_{zx}(z, z^+) - \tau_{zx}(z, z^-) = -\frac{1}{2A} \quad [11]$$

where A is the area of the laterally loaded pile embedded in layered soils. According to the Generalized Hooke's Law, this influence function can be expressed as

$$\gamma_{zx}(z, z^+) - \gamma_{zx}(z, z^-) = \frac{1}{G_{si}} [\tau_{zx}(z, z^+) - \tau_{zx}(z, z^-)] = -\frac{1}{2G_{si}A}, \quad (i=1, 2) \quad [12]$$

where $\gamma_{zx}(z, z^+)$ = influence shear strain function which represents the shear strain of the extended layered soils at the depth z due to a circular load at the depth z^+ , acting in the positive x -direction, the resultant applied force having unit magnitude, and G_{si} = the shear modulus of the soil layer i .

This condition leads to (Cao, 2017)

$$\frac{\partial \dot{u}_x(z, z^+)}{\partial \xi} - \frac{\partial \dot{u}_x(z, z^-)}{\partial \xi} = -\frac{1}{2G_{si}A}, \quad (i=1, 2) \quad [13]$$

Substituting Eq. [13] into Eq. [9] yields

$$u_i(z) = V(0)\dot{u}_x(z,0) - M(0)\frac{\partial \dot{u}_x(z,0)}{\partial \xi} + \frac{M_i(z)}{2G_{si}A}$$

$$- \int_0^L M_i(\xi)\frac{\partial^2 \dot{u}_x(z,\xi)}{\partial \xi^2} d\xi, \quad 0 \leq z \leq L \quad [14]$$

Eq. [14] can be simplified as

$$u_i(z) = - \int_0^L g_i(z,\xi)M_i(\xi)d\xi + u_i(0)\left(1 - \frac{z}{L}\right) + u_i(L)\left(\frac{z}{L}\right), \quad (i=1, 2) \quad [15]$$

where

$$g_1(z,\xi) = \frac{1}{E_{s1}} \begin{cases} \left(1 - \frac{\xi}{L}\right)z, & z < \xi \\ \left(1 - \frac{z}{L}\right)\xi, & z > \xi \end{cases}, \quad 0 \leq z < H_1 \quad [16a]$$

$$g_2(z,\xi) = \frac{1}{E_{s2}} \begin{cases} \left(1 - \frac{\xi}{L}\right)z, & z < \xi \\ \left(1 - \frac{z}{L}\right)\xi, & z > \xi \end{cases}, \quad H_1 < z \leq L \quad [16b]$$

For the analysis in dimensionless form the following dimensionless parameters are introduced

$$\bar{z} = \frac{z}{a}, \quad \bar{\xi} = \frac{\xi}{a}, \quad \bar{L} = \frac{L}{a}, \quad \bar{E}_i = \frac{E_p}{E_{si}}, \quad \kappa_i = \frac{8}{(1 + \mu_{si})(\bar{E}_i - 1)},$$

$$\bar{M}(0) = \frac{M(0)}{4\pi G_{si} a^3}, \quad \bar{M} = \frac{M_i}{4\pi G_{si} a^3}, \quad \bar{u} = \frac{u_i}{a}, \quad \bar{V}(0) = \frac{V(0)}{4\pi G_{si} a^2},$$

$$\bar{V} = \frac{V_i}{4\pi G_{si} a^2}, \quad (i=1, 2)$$

With the aid of these parameters, the relevant second kind Fredholm integral equation that furnishes the unknown function $\bar{M}(\bar{z})$ and the top and bottom displacements of the fictitious pile B . in extended layered soils can be expressed as the following form

$$B(\bar{z})\bar{u}(0) + C(\bar{z})\bar{u}(\bar{L}) + \int_0^{H_1} \kappa_1(\bar{z}, \bar{\xi})\bar{M}(\bar{\xi})d\bar{\xi} - 2\bar{M}(\bar{z}) + \int_{H_1}^L \kappa_2(\bar{z}, \bar{\xi})\bar{M}(\bar{\xi})d\bar{\xi} = \bar{V}(0)\bar{U}(\bar{z}, 0) - \bar{M}(0)\frac{\partial \bar{U}(\bar{z}, 0)}{\partial \bar{\xi}}, \quad 0 \leq \bar{z} < \bar{H}_1, \bar{H}_1 < \bar{z} \leq \bar{L} \quad [17]$$

where

$$B(\bar{z}) = \left(1 - \frac{\bar{z}}{\bar{L}}\right), \quad C(\bar{z}) = \frac{\bar{z}}{\bar{L}}, \quad \bar{U}(\bar{z}, \bar{\xi}) = 4\pi G_{si} a \bar{u}(z, \xi),$$

$$\bar{g}_1(\bar{z}, \bar{\xi}) = \begin{cases} \left(1 - \frac{\bar{\xi}}{L}\right)\bar{z}, & \bar{z} < \bar{\xi} \\ \left(1 - \frac{\bar{z}}{L}\right)\bar{\xi}, & \bar{z} > \bar{\xi} \end{cases}, \quad 0 \leq z < H_1,$$

$$\bar{g}_2(\bar{z}, \bar{\xi}) = \begin{cases} \left(1 - \frac{\bar{\xi}}{L}\right)\bar{z}, & \bar{z} < \bar{\xi} \\ \left(1 - \frac{\bar{z}}{L}\right)\bar{\xi}, & \bar{z} > \bar{\xi} \end{cases}, \quad H_1 < z \leq L,$$

$$\kappa_i(\bar{z}, \bar{\xi}) = \sum_{i=1}^2 \left[\frac{\partial^2 \bar{U}_i}{\partial \bar{\xi}^2}(\bar{z}, \bar{\xi}) - \kappa_i \bar{g}_i(\bar{z}, \bar{\xi}) \right],$$

$$\kappa_i = \frac{8}{(1 + \mu_{si})(E_p/E_{si} - 1)}, \quad (i=1, 2)$$

Once $\bar{M}(\bar{z})$ has been found, the solution for the lateral displacement $\bar{u}(\bar{z})$ of the fictitious pile in the extended layered soils can be expressed as

$$\begin{aligned} \bar{u}(\bar{z}) = & -\int_0^{\bar{L}} \kappa_i \bar{g}_i(\bar{z}, \bar{\xi}) \bar{M}(\bar{\xi}) d\bar{\xi} + \bar{u}(0) \left(1 - \frac{\bar{z}}{L}\right) \\ & + \bar{u}(L) \left(\frac{\bar{z}}{L}\right), \quad (i=1, 2) \end{aligned} \quad [18]$$

The differential equation of $\bar{u}(\bar{z})$ is obtained as

$$\begin{aligned} \bar{\theta}(\bar{z}) = & -\int_0^{\bar{L}} \kappa_i H(\bar{z}, \bar{\xi}) \bar{M}(\bar{\xi}) d\bar{\xi} \\ & + \frac{1}{L} [\bar{u}(L) - \bar{u}(0)], \quad (i=1, 2) \end{aligned} \quad [19]$$

where $\bar{\theta}(\bar{z})$ = the slope of the fictitious pile at depth z and

$$H(\bar{z}, \bar{\xi}) = \begin{cases} 1 - \frac{\bar{\xi}}{L}, & \bar{z} < \bar{\xi} \\ -\frac{\bar{\xi}}{L}, & \bar{z} > \bar{\xi} \end{cases}$$

3. Solution for influence displacement function in layered soils

To determine the influence displacement function, it is convenient to employ the transfer matrix method (Bufler, 1971; Bahar, 1972; and Ai et al., 2002). The influence function can be expressed as

$$\begin{aligned} \bar{u}(z, \xi) = & \frac{1}{2} \int_0^{\infty} \zeta [\bar{\Psi}_{11}(\zeta, z, \xi) J_2(\zeta r) \\ & + \bar{\Psi}_{21}(\zeta, z, \xi) J_0(\zeta r)] d\zeta \end{aligned} \quad [20]$$

where $J_2(\zeta r)$ and $J_0(\zeta r)$ are Bessel functions of orders 2 and 0 respectively.

$$\begin{aligned} \bar{\Psi}(\zeta, z, \xi) = & [\bar{S}_1^2(\zeta, z, \xi), \bar{S}_2^0(\zeta, z, \xi), \bar{w}^1(\zeta, z, \xi), \bar{T}_1^2(\zeta, z, \xi), \\ & \bar{T}_2^0(\zeta, z, \xi), \bar{\sigma}_z^1(\zeta, z, \xi)]^T \end{aligned}$$

$$\begin{aligned} \bar{\Psi}(\zeta, 0, \xi) = & [\bar{S}_1^2(\zeta, 0, \xi), \bar{S}_2^0(\zeta, 0, \xi), \bar{w}^1(\zeta, 0, \xi), \bar{T}_1^2(\zeta, 0, \xi), \\ & \bar{T}_2^0(\zeta, 0, \xi), \bar{\sigma}_z^1(\zeta, 0, \xi)]^T \end{aligned}$$

where $\bar{S}_1^2(\zeta, z, \xi)$, $\bar{S}_2^0(\zeta, z, \xi)$, $\bar{w}^1(\zeta, z, \xi)$, $\bar{T}_1^2(\zeta, z, \xi)$, $\bar{T}_2^0(\zeta, z, \xi)$ and $\bar{\sigma}_z^1(\zeta, z, \xi)$ stand for the Hankel transforms of $S_1^2(\zeta, z, \xi)$, $S_2^0(\zeta, z, \xi)$, $w^1(\zeta, z, \xi)$, $T_1^2(\zeta, z, \xi)$, $T_2^0(\zeta, z, \xi)$ and $\sigma_z^1(\zeta, z, \xi)$, respectively, which are given by Ai (1999).

If the unknown quantities at the depth z in the i^{th} layer are above the loading level in which the horizontal circular load is applied, as shown in Fig. 2, $\bar{\Psi}(\zeta, z, \xi)$ can be derived from

$$\begin{aligned} \bar{\Psi}(\zeta, z, \xi) = & \Phi(\zeta, z - H_{i-1}) \Phi(\zeta, H_{i-1}) L \Phi(\zeta, \Delta H_i) \bar{\Psi}(\zeta, 0, \xi) \\ & (H_{i-1} < z \leq H_i, z \leq H_{m1}) \end{aligned} \quad [21]$$

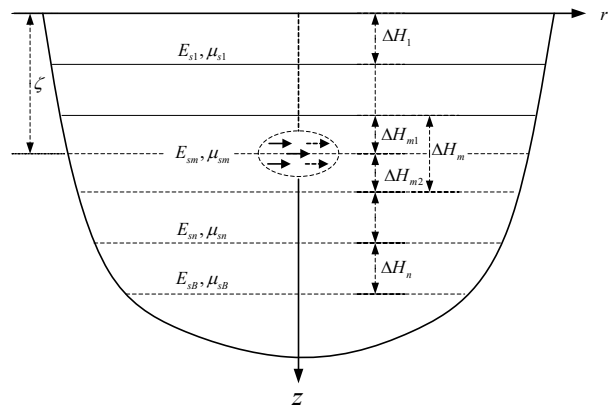


Fig. 2. Horizontal circular load in elastic layered soils.

where $\Phi(\zeta, z) = 6 \times 6$ size transfer matrix. It can be written as

$$\begin{aligned} \Phi_{11} &= \frac{\zeta z \times \text{sh}\zeta z}{4(1-\mu)} + \text{ch}\zeta z = \Phi_{22} = \Phi_{44} = \Phi_{55} \\ \Phi_{12} &= -\frac{\zeta z \times \text{sh}\zeta z}{4(1-\mu)} = \Phi_{21} = \Phi_{45} = \Phi_{54} \\ \Phi_{13} &= \frac{\zeta z \times \text{ch}\zeta z}{2(1-\mu)} + \frac{(1-2\mu)\text{sh}\zeta z}{2(1-\mu)} = -\Phi_{23} = -2\Phi_{64} = 2\Phi_{65} \\ \Phi_{14} &= \frac{z \times \text{ch}\zeta z}{8G(1-\mu)} + \frac{(7-8\mu)\text{sh}\zeta z}{8G(1-\mu)\zeta} = \Phi_{25} \\ \Phi_{15} &= -\frac{z \times \text{ch}\zeta z}{8G(1-\mu)} + \frac{\text{sh}\zeta z}{8G(1-\mu)\zeta} = \Phi_{24} \\ \Phi_{16} &= \frac{z \times \text{sh}\zeta z}{4G(1-\mu)} = -\Phi_{26} = -2\Phi_{34} = 2\Phi_{35} \\ \Phi_{31} &= -\frac{\zeta z \times \text{ch}\zeta z}{4(1-\mu)} + \frac{(1-2\mu)\text{sh}\zeta z}{4(1-\mu)} = -\Phi_{32} = -\frac{1}{2}\Phi_{46} = \frac{1}{2}\Phi_{56} \\ \Phi_{33} &= -\frac{\zeta z \times \text{sh}\zeta z}{2(1-\mu)} + \text{ch}\zeta z = \Phi_{66} \\ \Phi_{36} &= -\frac{z \times \text{ch}\zeta z}{4G(1-\mu)} + \frac{(3-4\mu)\text{sh}\zeta z}{4G(1-\mu)\zeta} \\ \Phi_{41} &= \frac{G\zeta^2 z \times \text{ch}\zeta z}{2(1-\mu)} + \frac{G(2-\mu)\zeta \times \text{sh}\zeta z}{2(1-\mu)} = \Phi_{52} \\ \Phi_{42} &= -\frac{G\zeta^2 z \times \text{ch}\zeta z}{2(1-\mu)} - \frac{G\mu\zeta \times \text{sh}\zeta z}{2(1-\mu)} = \Phi_{51} \\ \Phi_{43} &= \frac{G\zeta^2 z \times \text{sh}\zeta z}{1-\mu} = -\Phi_{53} = -2\Phi_{61} = 2\Phi_{62} \\ \Phi_{63} &= -\frac{G\zeta^2 z \times \text{ch}\zeta z}{1-\mu} + \frac{G\zeta \times \text{sh}\zeta z}{1-\mu} \end{aligned}$$

$\hat{\Psi}(\zeta, 0, \xi)$ can be determined from the following transfer matrix

$$\begin{aligned} \hat{\Psi}(\zeta, 0, \xi) &= \Phi(\zeta, -\Delta H_1) \Phi(\zeta, -\Delta H_2) \\ &\quad L \Phi(\zeta, -\Delta H_{n-1}) \Phi(\zeta, -\Delta H_n) \hat{\Psi}(\zeta, H_n^+) \\ &\quad - \Phi(\zeta, -\Delta H_1) \Phi(\zeta, -\Delta H_2) \\ &\quad L \Phi(\zeta, H_{m-1} - \xi) [0, 0, 0, 0, \tilde{P}, 0]^T \end{aligned} \quad [22]$$

where \tilde{P} is the Hankel transform of Eq. [10]

$$\tilde{P} = \text{sina}\zeta / (2\pi\zeta) \quad [23]$$

If the unknown quantities at the depth z in the i^{th} layer is below the loading level, $\hat{\Psi}(\zeta, z, \xi)$ can be derived from

$$\begin{aligned} \hat{\Psi}(\zeta, z, \xi) &= \Phi(\zeta, z - H_i) \Phi(\zeta, -H_{i+1}) \\ &\quad \Phi(\zeta, -\Delta H_n) \hat{\Psi}(\zeta, H_n^+) \quad (H_{i-1} < z < H_i, z > H_{m1}) \end{aligned} \quad [24]$$

$\hat{\Psi}(\zeta, H_n^+)$ is expressed as

$$\begin{aligned} \hat{\Psi}(\zeta, H_n^+) &= \Phi(\zeta, \Delta H_n) \Phi(\zeta, \Delta H_{n-1}) \\ &\quad \dots \Phi(\zeta, \Delta H_{m2}) \Phi(\zeta, \xi - H_{m-1}) \\ &\quad \dots \Phi(\zeta, \Delta H_1) \hat{\Psi}(\zeta, 0) + \Phi(\zeta, \Delta H_n) \\ &\quad \dots \Phi(\zeta, \Delta H_{m2}) [0, 0, 0, 0, \tilde{P}, 0]^T \\ &\quad (H_{i-1} < z < H_i, z > H_{m1}) \end{aligned} \quad [25]$$

4. Illustrative results and discussion

4.1 Comparison of the proposed analysis with other existent solutions

Based on the strain-potential approach proposed by Muki (1960) for asymmetric problem in the theory of elastic, the displacement influence function has been obtained by Pak (1989). In this study, the normalized displacement influence function is determined using the transfer matrix method. To confirm the validity of the proposed solution, the present solutions using the transfer matrix method for the laterally loaded piles in layered soils will be compared to with a nondimensional solution obtained by Pak (1989) for the piles in homogeneous elastic soil.

The model of the laterally loaded piles in three-layer soils is shown in **Fig. 3 (b)**. In the three-layer soil analyses, the writers have assumed that $\Delta H_1 = 0.3L$ and $\Delta H_2 = 0.7L$ respectively for the thickness of the upper soil layers, $\mu_{s1} = \mu_{s2} = 0.3$ for the Poisson's ratios, and $E_p / E_{s2} = E_p / E_{s1} = E_p / E_{sb} = \bar{E} = 1000, 5000$ for the ratios of the layered soil stiffness. As shown in **Fig. 4**, the bending moment, slope and displacement distributions from the two methods are in good agreement, which confirms the validity of the present formulation and numerical scheme.

4.2 Parametrical studies

The influences of some parameters and soil layering on the calculated bending moment, slope and displacement of laterally loaded piles in two-layer soils and three-layer soils are studied in detail in this section. The model of the laterally loaded piles in two-layer soils and three-layer soils are shown in Fig. 3 for the ratio of the base stiffness to the top soil stiffness $E_{sB}/E_{s1}=10$, pile slenderness ratio of $L/a=60$, the base soil Poisson's ratio of $\mu_{sB}=0.3$ and pile-soil stiffness ratios of $E_p/E_{s1}=100, 1000$, and 5000 . In the three-layer soil analyses, the writers have assumed that $\Delta H_1=0.4L$ and $\Delta H_2=0.6L$ respectively for the thickness of the upper soil layers, $\mu_{s1}=\mu_{s2}=0.3$ for the Poisson's ratios, and $E_{s2}/E_{s1}=4$ for the ratio of the layered soil stiffness. As shown in Fig. 3 (b), the thickness of the upper two-layer soils is L .

Figs. 5 and 6 illustrate solutions for shear loading only and moment loading only, respectively. It can be observed that for both layered soil systems there is a poor agreement of the variation of bending moment, slope and displacement along the direction of normalized depth. With the increase of the normalized depth \bar{z} , the bending moment, slope and displacement profiles under both shear and moment loading cases in three-layer soils tend to have a more apparent reversal at some depth. This feature reflects that the lateral loads applied to the pile are transferred to a great depth in three-layer soils. The examples show that explicit accounting of the different layers is necessary for accurate prediction of the laterally loaded pile response.

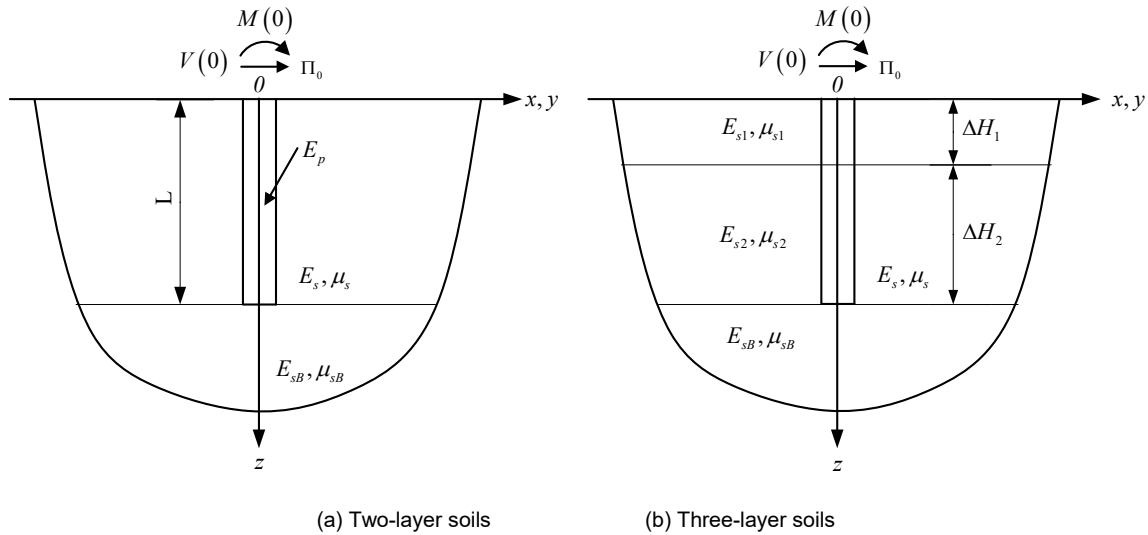
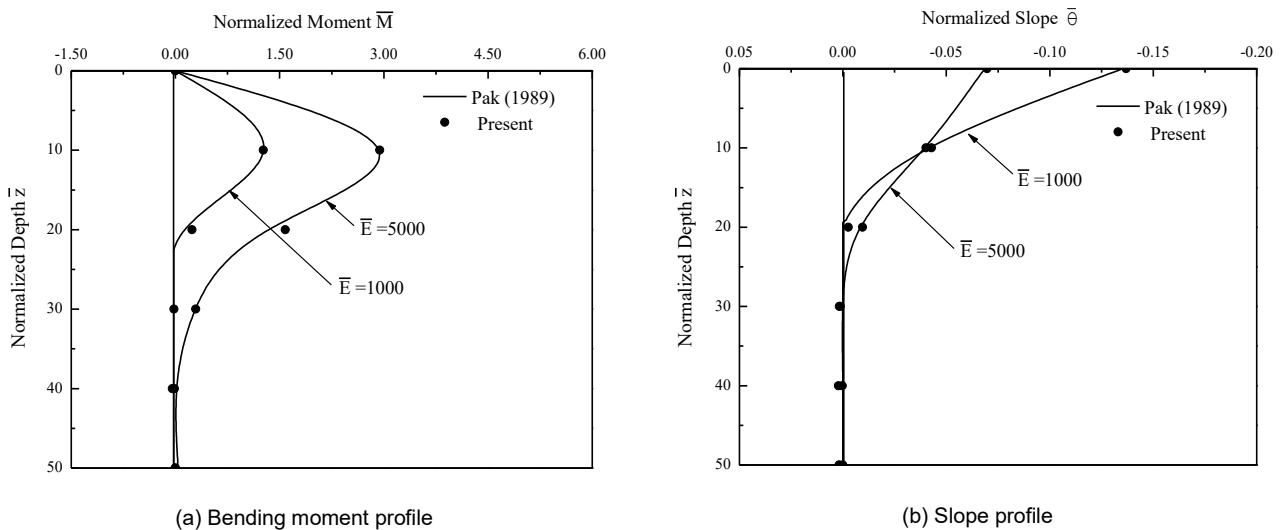
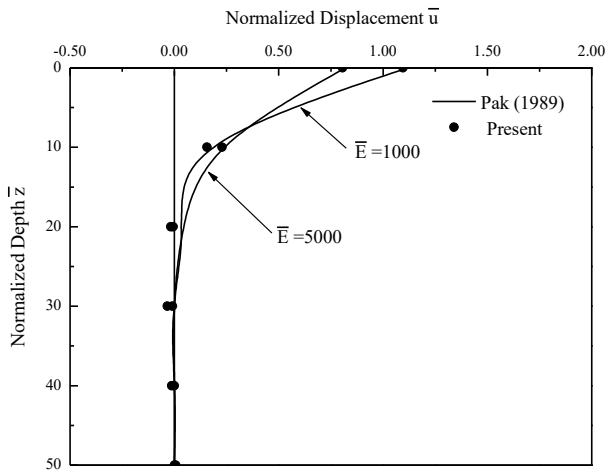


Fig. 3. Model of laterally loaded pile in layered soils.





(c) Displacement profile

Fig. 4. Bending moment, slope and displacement distributions under unit shear.

The normalized slope for the two layered soil systems and loading conditions are shown in **Figs. 5(c, d)** and **Figs. 6(c, d)**, while the corresponding normalized displacement are illustrated in **Figs. 5(e, f)** and **Figs. 6(e, f)**, respectively. In accord with the reciprocal theorem in linear elastostatics, the absolute value of the top rotation due to a unit shear is found to be identical to the top displacement due to a unit moment for the whole range of modulus ratios and both layered soil systems.

5. Conclusions

This paper is aimed at establishing a rigorous method of analysis for a single, circular pile embedded in multi-layered soils and subjected to a horizontal force and a moment at the pile top. The main findings and conclusions from this study are as follows:

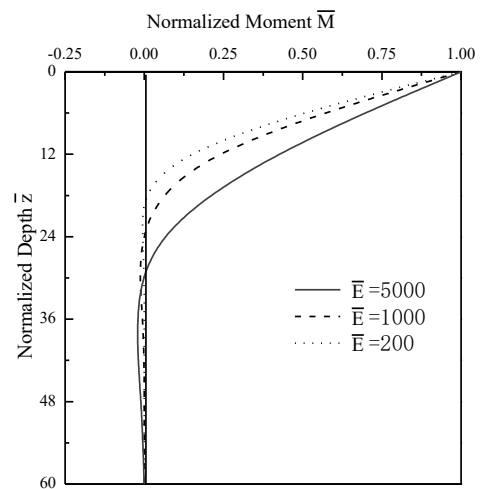
(1) A fictitious-pile expression for estimating the pile-soil interaction in multi-layered soils was established, which was formulated as a Fredholm integral equation of the second kind. The validity of the current method has been verified through comparisons with existing solutions.

(2) In particular, it has been shown that soil layering has a definite impact on the pile response. Hence, proper accounting of the soil layers is necessary to accurately predict the lateral pile response. The new fictitious-pile expression and the theory of the transfer matrix for the laterally loaded pile have the capability of predicting the pile response with full consideration of soil layering.

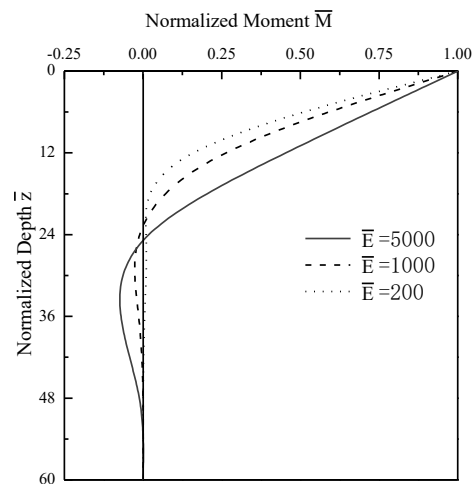
(3) For the whole range of modulus ratios and both layered soil systems, the absolute value of the top rotation due to a unit shear is found to be identical to the top displacement due to a unit moment.

Acknowledgements

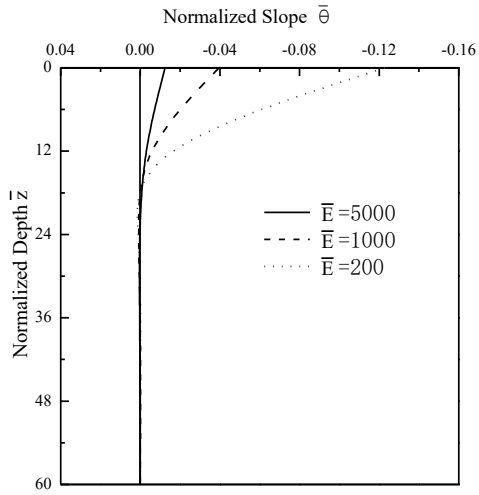
Financial supports from the Innovation Project of Shanghai Education Committee (NO. 15ZZ103) and the Key Science research of Shanghai Open University (NO. JF1301) are gratefully acknowledged.



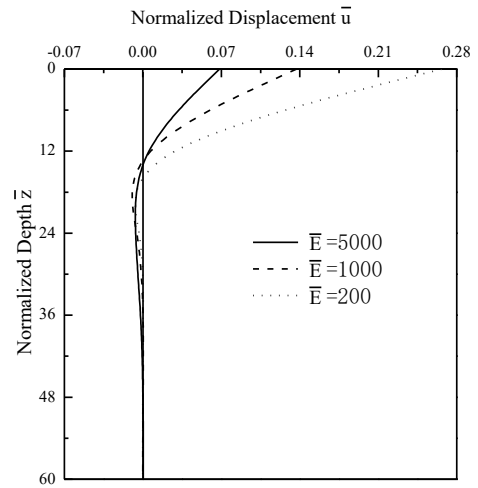
(a) Bending moment profile in two-layer soils



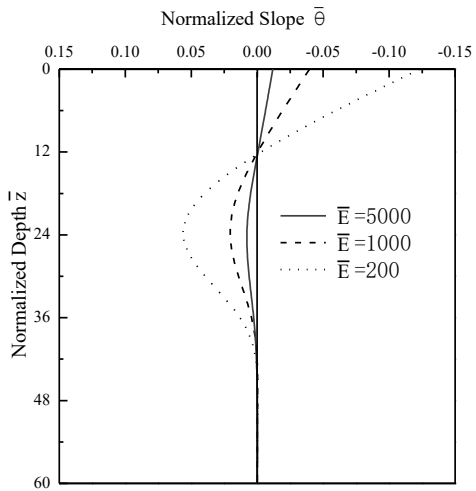
(b) Bending moment profile in three-layer soils



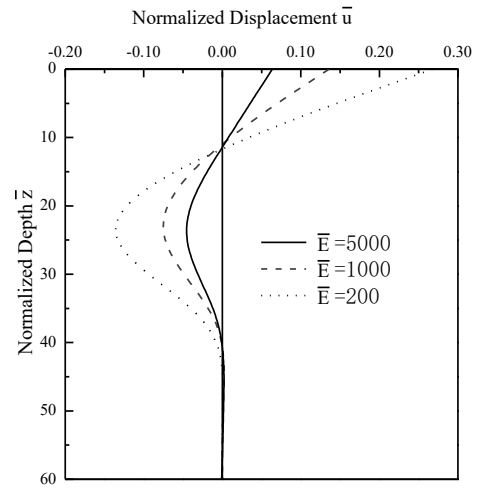
(c) Slope profile in two-layer soils



(e) Displacement profile in two-layer soils

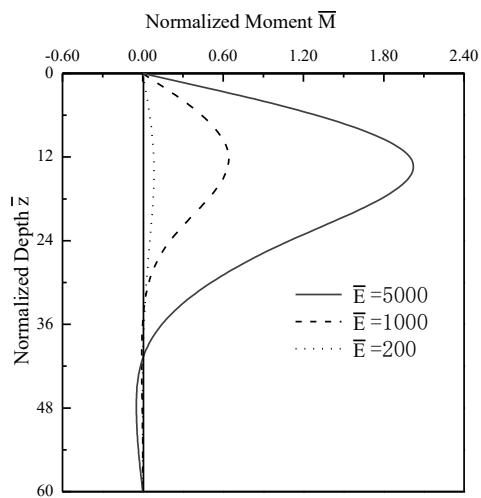


(d) Slope profile in three-layer soils

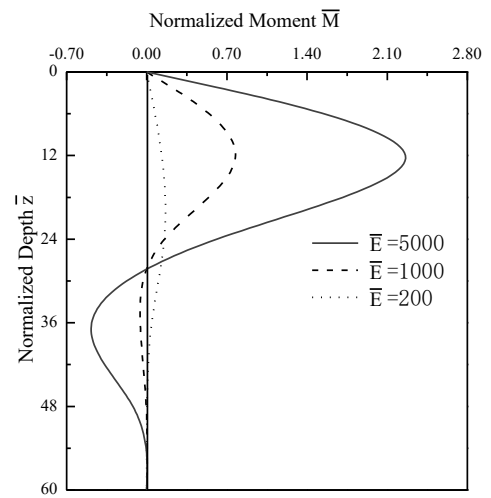


(f) Displacement profile in three-layer soils

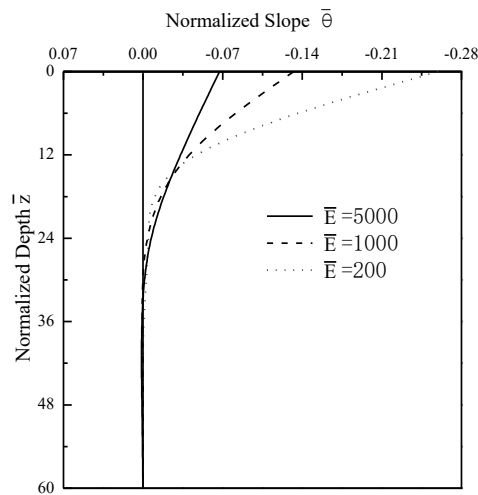
Fig. 5. Bending moment, slope and displacement distributions under unit moment.



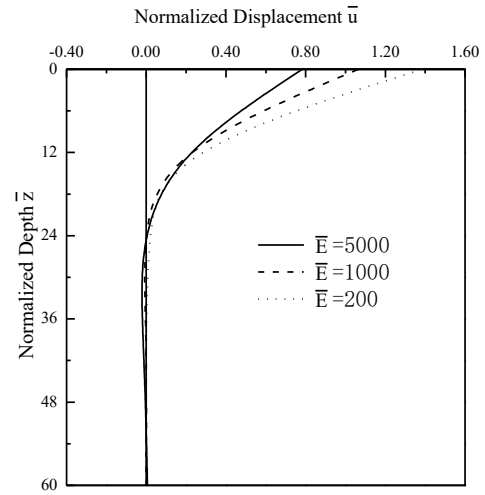
(a) Bending moment profile in two-layer soils



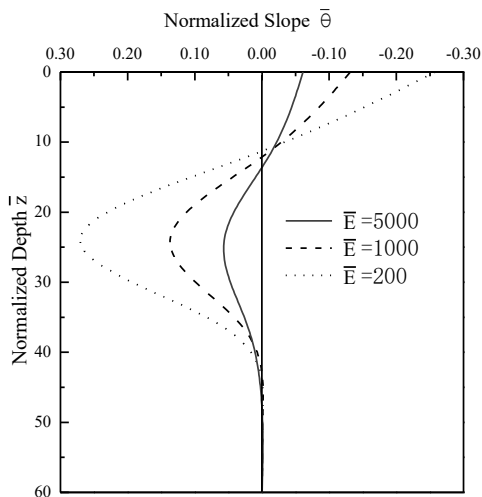
(b) Bending moment profile in three-layer soils



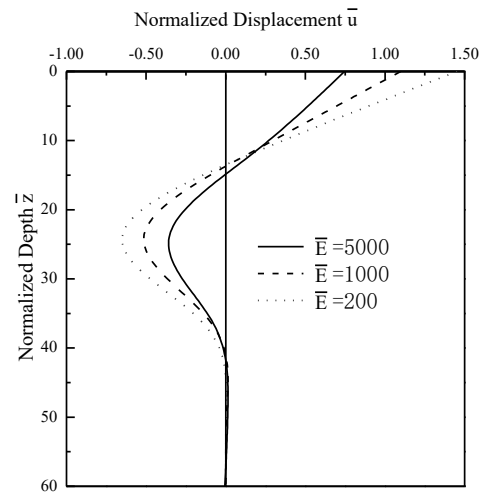
(c) Slope profile in two-layer soils



(e) Displacement profile in two-layer soils



(d) Slope profile in three-layer soils



(f) Displacement profile in three-layer soils

Fig. 6. Bending moment, slope and displacement distributions under unit shear.

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